

## Search Guide for Listed Company Information Title Search - GEM

"Listed Companies Information Title Search" provided on the GEM Website allows users to search for published documents of listed companies of GEM by using **single** or **multiple parameters**. The more parameters you select, the more refined the results will be.

Below is the screen layout:

The screenshot shows the GEM website's search interface. At the top, there are language options (中文繁體, 中文簡體) and a HOME link. The main heading is "Listed Company Information Title Search - Current Securities". Below this, there is a disclaimer and a link to "Listed Company Information Title Search - Delisted Securities". The search form includes fields for Stock Code (Optional), Stock Name (Optional), Date of Release (with radio buttons for "Date/time", "Stock Code", and "Stock Name"), News Title (Optional), and filters for All Headline Category and Document Type. There are also "Search" and "Clear" buttons. At the bottom, there is a "Content Search" section with a link to "For Listed Company Information Content Search, please click here."

For illustrative purpose, the following examples are used to demonstrate how to search published documents of listed companies of GEM via "Listed Company Information Title Search".

### Search by Single Parameter

#### Example 1 Search by Default

1. Simply click the **Search** button, without inputting any fields.

(Date of Release will be from the preceding month up to today by default)

All published documents of listed companies of GEM in the preceding month will be shown.

#### Example 2 Search by Stock Code/Stock Name

1. Input the **Stock Code** or **Stock Name** of the listed company (e.g. "8XXX" or "XXCompany").

(Date of Release will be from 1 April 1999 up to today by default)

2. Click the **Search** button.

All published documents of the listed company of GEM with the selected **Stock Code** or **Stock Name** since 1 April 1999 will be shown.

### Example 3 Search by News Title

1. Input the keywords of **News Title** (e.g. "interim results").

(Date of Release will be from the preceding month up to today by default.)

2. Click the **Search** button.

All published documents of the listed companies of GEM with the defined **News Title** in the preceding month will be shown.

### Search by Multiple Parameters

#### Example 4 Search by Headline Category/Document Type AND Date of Release

For listed company documents published on or after 25 June 2007

1. Select the first-tier **Headline Category** (e.g. "Announcements and Notices").
  - a. [Optional] In the pop-up box immediately below, select the group heading of second-tier **Headline Category** (e.g. "Notifiable Transactions").
  - b. [Optional] In the pop-up box immediately below, select the second-tier **Headline Category** (e.g. "Very Substantial Acquisition").
2. Define the search period (i.e. Date of Release) by either specifying the time period, or choosing one of the pre-defined search periods.

(Date of Release will be from the preceding month up to today by default)

3. Click the **Search** button.

All published documents of the listed companies of GEM with the selected **Headline Category** for the specified search period will be shown.

You have to select **Stock Code** or **Stock Name** for any search covering more than twelve months.

For listed company documents published prior to 25 June 2007

1. Select the **Document Type** (e.g. "Results Announcement").
2. Define the search period (i.e. Date of Release) by specifying the time period.
3. Click the **Search** button.

All published documents of the listed companies of GEM with the selected **Document Type** for the specified search period will be shown.

You have to select **Stock Code** or **Stock Name** for any search covering more than twelve months.

**Example 5 Search by Stock Code/Stock Name AND Headline Category AND Date of Release**

*(Only applicable to listed company documents posted on or after 25 June 2007)*

1. Input the **Stock Code** or **Stock Name** of the listed company (e.g. "8XXX" or "XXCompany").  
(Date of Release will be from 1 April 1999 up to today by default.)
2. Select the first-tier **Headline Category** (e.g. "Announcements and Notices").
3. Define the search period (i.e. Date of Release) by either specifying the time period, or choosing one of the pre-defined search periods.
4. Click the **Search** button.

All published documents of the listed company of GEM with the selected search criteria for the specified search period will be shown.

**Example 6 Search by Headline Category AND News Title AND Date of Release**

*(Only applicable to listed company documents posted on or after 25 June 2007)*

1. Select the first-tier **Headline Category** (e.g. "Announcements and Notices").  
(Date of Release will be from the preceding month up to today by default)
2. Input the keyword of **News Title** (e.g. "China").
3. Define the search period (i.e. Date of Release) by either specifying the time period, or choosing one of the pre-defined search periods.
4. Click the **Search** button.

All published documents of the listed companies of GEM with the selected search criteria for the specified search period will be shown.

You have to select **Stock Code** or **Stock Name** for any search covering more than twelve months.

### Useful Tips for Your Search

1. If you do not specify **Stock Code/Stock Name** and **Headline Category/Document Type**, the search period shall not be more than one month. If you specify **Headline Category/Document Type** but not the **Stock Code/Stock Name**, the search period shall not be more than twelve months. However, if **Stock Code/Stock Name** is specified, the search period will be set to 1 April 1999 up to today by default.
2. If you do not know a listed company's full name or stock code, you may click on "**List of Stock Codes**" (sorted by stock code) or "**List of Stock Names**" (sorted by alphabetical order) next to the input boxes. You can then click on the stock short name to select the listed company.
3. To facilitate your search of the **Headline Category**, there is a built-in three-level search. The highest level has 16 first-tier headline categories. You can then narrow down your search by selecting a group heading of second-tier **Headline Category** in the next level. If you do not know the structure of the headline categories, you can click the **Help** button for a list of categories at the lowest level in alphabetical order.